



**North Yorkshire
County Council**

Agenda

Meeting: Audit Committee

**Venue: Brierley Room, County Hall,
Northallerton**

Date: Thursday 23 June 2016 at 1.30 pm

**Note: Members are invited to attend a
seminar concerning procurement/
VFM at 1.00 pm in the Brierley Room**

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public. Please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk>

Business

- 1. Election of Chairman**
- 2. Minutes of the meeting held on 3 March 2016**
- 3. Election of Vice-Chairman**
- 4. Any Declarations of Interest**

(Pages 5 to 12)

Enquiries relating to this agenda please contact Ruth Gladstone **Tel: 01609 532555** or e-mail ruth.gladstone@northyorks.gov.uk
www.northyorks.gov.uk

5. Public Questions or Statements

Members of the public may ask questions or make statements at this meeting if they have given notice to Ruth Gladstone of Democratic Services (*contact details at the foot of the first page of the Agenda*) by midday on Monday 20 June. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

6. **Progress on Issues Raised by the Committee** – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services).
(Pages 13 to 14)
7. **Annual Report of the Head of Internal Audit 2015/16**
(Pages 15 to 45)
8. **Internal Audit Plan for 2016/17** - Report of the Head of Internal Audit
(Pages 46 to 66)
9. **Maintaining an Effective Control Framework** – Report of External Audit
(Pages 67 to 81)
10. **Corporate Governance** - Report of the Corporate Director – Strategic Resources
(Pages 82 to 164)
11. **Internal Audit Work / Internal Control Matters for the Children and Young People's Services Directorate:-**
 - (a) Report of the Head of Internal Audit
(Pages 165 to 176)
 - (b) Report of the Corporate Director – Children and Young People's Services Directorate.
(Pages 177 to 181)
12. **Risk Management - Progress** - Report of the Corporate Director – Strategic Resources
(Pages 182 to 204)
13. **Business Continuity - Update** - Report of the Emergency Planning Manager
(Pages 205 to 207)

14. **Corporate Procurement Strategy** - Report of the Corporate Director – Strategic Resources
(Pages 208 to 217)
15. **Programme of Work 2015/16**
(Page 218)
16. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.**

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

Notes:

(a) **Emergency Procedures for Meetings**

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

County Councillors (8)							
	<i>Councillors Names</i>				<i>Political Party</i>		
1	ATKINSON, Margaret				Conservative		
2	BAKER, Robert				Conservative		
3	BLACKIE, John				NY Independent		
4	BROADBENT, Eric				Labour		
5	CLARK, Jim				Conservative		
6	FORT, John BEM				Conservative		
7	GRANT, Helen				NY Independent		
8	JORDAN, Mike				Conservative		
Members other than County Councillors (3)							
1	PORTLOCK, David						
2	MARSH, David						
3	Vacancy						
Total Membership – (11)				Quorum – (3) County Councillors			
Con	Lib Dem	NY Ind	Labour	Liberal	UKIP	Ind	Total
5	0	2	1	0	0	0	

2. Substitute Members

Conservative		Liberal Democrat	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	HARRISON-TOPHAM, Roger	1	De COURCEY-BAYLEY, Margaret-Ann
2	SANDERSON, Janet	2	
3	METCALFE, Chris	3	
4		4	
5		5	
NY Independent		Labour	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	JEFFERSON, Janet	1	SHAW-WRIGHT, Steve
2		2	
3		3	
4		4	
5		5	